



投資者交收指示
Investor Settlement Instruction (I.S.I.)

日期 Date: _____

客戶名稱 Account Name		證券戶口號碼 Securities Account Number	
聯絡電話 Contact Number		電郵地址 Email Address	

本人/吾等特此通知貴公司 I/We hereby instruct your company to

- 將下列股票存入本人/吾等之證券戶口 **RECEIVE** the following securities for my/our securities account
 由本人/吾等之證券戶口提取下列股票 **DELIVER** the following securities for my/our securities account

並透過中央結算及交收系統，按以下內容執行交收指示

via Central Cleaning and Settlement System (CCASS) to effect the settlement in accordance with the following details:

中央結算有限公司投資者戶口賬號 Investor Account No. with CCASS		交收日期 Settlement Date	
聯絡人姓名 Contact Person		聯絡電話 Contact Tel No.	

股票代號 Stock Code	股票名稱 Stock Name	股票數目 Quantity	交收方式 Settlement Method
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment of 港幣 HK\$ ()

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現變動。本人/吾等亦承諾就本交收指示所可能令貴公司蒙受或承擔的任何費用或開支，當中包括但不限於印花稅，向貴公司作出彌償及使之獲得彌償。 I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

經紀名稱

A.E. Name: _____

經紀代號

A.E. Code: _____

客戶簽署 / 客戶主任簽署 Client's Signature(s) / AE's Signature

請用留存本行紀錄之簽署式樣

Please use signature(s) filed with Polaris Securities (Hong Kong) Ltd.

For Official Use Only			
S.V.	Approved By	Inputted By	Checked By

注意 Note :

- 請用正楷填寫，並在適當的地方加上剔號。 Please complete in **BLOCK LETTERS** and tick where applicable.
- 即日執行之指示需於中午十二時前交付或傳真至交收部。 Please note that instruction for same day settlement must reach us before 12:00 noon on the settlement day.
- 除非香港結算另有指示，否則投資者交收指示(須確認)一經輸入(若有需要，則以及批核)及有關投資者戶口持有人確認後，任何一方的參與者均不能取消該指示。倘若交易雙方的參與者均向香港結算報告投資者交收指示出現錯誤，香港結算可取消該指示(須確認)。除非香港結算另有指示，否則投資者交收指示(毋須確認)一經輸入(若有需要，則以及批核)即不能取消。 An ISI (with affirmation) once input (and authorized, if required) and affirmed by Investor Participant concerned cannot be cancelled by either Participant concerned, unless otherwise directed by HKSCC. HKSCC may cancel an erroneous ISI (with affirmation) if both Participants concerned report such error to HKSCC. An ISI (without affirmation) once input (and authorized, if required) cannot be cancelled unless otherwise directed by HKSCC.